

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet **1** of **2**

### Complete If Known

Application Number	09/944,788
Filing Date	August 31, 2001
First Named Inventor	Alfonso Valdes
Art Unit	3621
Examiner Name	Sherr, Cristina O.
Attorney Docket Number	10454-022001 (SRI/4190-4)

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C40	EXHIBIT 14 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, THE NETWORK FLIGHT RECORDER SYSTEM INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-73, November 15, 2005.	
	C41	EXHIBIT 15 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, NETSTALKER AND HP OPENVIEW INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-21, November 15, 2005.	
	C42	EXHIBIT 16 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, HP OPENVIEW AND THE INTERNET STANDARDS INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-26, November 15, 2005.	
	C43	EXHIBIT 17 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, "NETWORK LEVEL INTRUSION DETECTION SYSTEM" (AUGUST 1990) INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp.1-22, November 15, 2005.	
	C44	EXHIBIT 18 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, US PATENT NUMBER 5,825,750 (THOMPSON) INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (a) and 102 (e), pp.1-21, November 15, 2005.	
	C45	EXHIBIT 19 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, "FAULT DETECTION IN AN ETHERNET NETWORK VIA ANOMALY DETECTORS" INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-17, November 15, 2005.	
	C46	EXHIBIT 20 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, STAKE OUT NETWORK SURVEILLANCE INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp.1-24, November 15, 2005.	
	C47	EXHIBIT 21 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, EMERALD 1997, INTRUSIVE ACTIVITY 1991, AND NIDES 1994 INVALIDATE THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) OR 103, pp.1-62, November 15, 2005.	
Examiner Signature	/Cristina Sherr/		Date Considered
			09/12/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Attorney Docket Number

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First Named Inventor	Alfonso Valdes
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	C48	EXHIBIT 22 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, AUTOMATED INFORMATION SYSTEM – AIS INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-15, November 15, 2005.	
	C49	EXHIBIT 23 TO THE SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NOS 6 & 11, COMPARISON OF LISTED PUBLICATIONS TO CLAIMS-AT-ISSUE OF SRI'S PATENTS-IN-SUIT FOR 35 U.S.C. § 103, pp. 1-127, November 15, 2005.	
	C50	SECOND SUPPLEMENTAL RESPONSES AND OBJECTIONS OF ISS-GA AND ISS-DE TO SRI'S INTERROGATORY NO. 11,SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp.1-17, Certificate of Service dated March 28, 2006.	
	C51	SYMANTEC CORPORATION'S FIFTH SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORY NO. 11, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp. 1-15, dated March 28, 2006.	
Examiner Signature	/Cristina Sherrt/	Date Considered	09/12/2008

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